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7 March 2001

United States Patent and Trademark Office  
WASHINGTON DC 20231  
USA

Attention: Office of Initial Patent Examination  
Customer Service Center

Dear Sirs,

**United States Patent Application Serial Number 09/575,109**  
**Inventors: Paul Lapstun and Simon Robert Walmsley**  
**Assignee: SILVERBROOK RESEARCH PTY LTD**  
**Title of Invention: PRINTED PAGE TAG ENCODER**  
**Docket No. PEC02US**

We respectfully request for a Filing Receipt for the above-identified case because as of this writing, we have not yet received a Filing Receipt.

We enclose a copy of the Utility Patent Application Transmittal form that was filed and a copy of the first page of the Recordation Form Cover Sheet from which we note that application number USSN 09/575,109 was allocated.

Very respectfully,

KIA SILVERBROOK  
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Balmain NSW 2041, Australia

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# RECORDATION FORM COVER SHEET PATENTS ONLY

Docket No.: PEC012600

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

## Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)  
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- ☐ Correction of PTO Error  
Reel #  Frame #
- ☐ Corrective Document  
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- ☒ Assignment ☐ Security Agreement
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- U.S. Government  
(For Use ONLY by U.S. Government Agencies)
- ☒ Departmental File ☐ Secret File

## Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) LAPSTUN, Paul

Name (line 2)

Execution Date  
Month Day Year  
05 16 2000

## Second Party

Name (line 1) WALMSLEY, Simon Robert

Name (line 2)

Execution Date  
Month Day Year  
05 16 2000

## Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Silverbrook Research Pty. Ltd.

Name (line 2)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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Address (line 2)

Address (line 3) Balmain

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NSW, Australia

State/Country

2041

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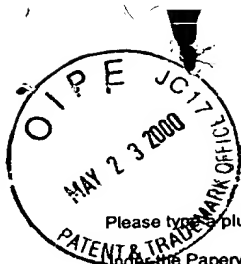
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PTO/SB/05 (4/98)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCEUTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

|  |                          |
|--|--------------------------|
| Attorney Docket No.                      | PEC02US                  |
| First Inventor or Application Identifier | Paul Lapstun             |
| Title                                    | PRINTED PAGE TAG ENCODER |
| Express Mail Label No.                   |                          |

APPLICATION ELEMENTS  
See MPEP chapter 600 concerning utility patent application contents.

1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 100]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 52]
4. Oath or Declaration [Total Pages 4]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

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Box Patent Application  
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449
11. ☐ Preliminary Amendment
12. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
13. ☒ \* Small Entity Statement(s) filed in prior application, Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Other: .....

## 16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 24011 or ☐ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

|         |                              |           |                |          |                |
|---------|------------------------------|-----------|----------------|----------|----------------|
| Name    | Kia Silverbrook              |           |                |          |                |
|         | Silverbrook Research Pty Ltd |           |                |          |                |
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| City    | Balmain                      | State     | NSW            | Zip Code | 2041           |
| Country | AUSTRALIA                    | Telephone | 61-2-9818-6633 | Fax      | 61-2-9818-6711 |

|                   |                 |                                   |              |
|-------------------|-----------------|-----------------------------------|--------------|
| Name (Print/Type) | Kia Silverbrook | Registration No. (Attorney/Agent) |              |
| Signature         |                 | Date                              | May 16, 2000 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.